

General Assembly

## **Amendment**

January Session, 2021

LCO No. 8782



Offered by: SEN. KISSEL, 7<sup>th</sup> Dist.

SEN. KISSEL, 7<sup>th</sup> Dist. SEN. SAMPSON, 16<sup>th</sup> Dist.

To: Subst. Senate Bill No. **1019** 

File No. 613

Cal. No. 349

"AN ACT CONCERNING THE BOARD OF PARDONS AND PAROLES, ERASURE OF CRIMINAL RECORDS FOR CERTAIN MISDEMEANOR AND FELONY OFFENSES, PROHIBITING DISCRIMINATION BASED ON ERASED CRIMINAL HISTORY RECORD INFORMATION AND CONCERNING THE RECOMMENDATIONS OF THE CONNECTICUT SENTENCING COMMISSION WITH RESPECT TO MISDEMEANOR SENTENCES."

- 1 Strike section 3 in its entirety and insert the following in lieu thereof:
- 2 "Sec. 3. Section 54-142a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2023*):
  - (a) Whenever in any criminal case, on or after October 1, 1969, the accused, by a final judgment, is found not guilty of the charge or the charge is dismissed, all police and court records and records of any state's attorney pertaining to such charge shall be erased upon the expiration of the time to file a writ of error or take an appeal, if an appeal is not taken, or upon final determination of the appeal sustaining a finding of not guilty or a dismissal, if an appeal is taken. Nothing in this

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subsection shall require the erasure of any record pertaining to a charge for which the defendant was found not guilty by reason of mental disease or defect or guilty but not criminally responsible by reason of mental disease or defect.

- (b) Whenever in any criminal case prior to October 1, 1969, the accused, by a final judgment, was found not guilty of the charge or the charge was dismissed, all police and court records and records of the state's or prosecuting attorney or the prosecuting grand juror pertaining to such charge shall be erased by operation of law and the clerk or any person charged with the retention and control of such records shall not disclose to anyone their existence or any information pertaining to any charge so erased; provided nothing in this subsection shall prohibit the arrested person or any one of his heirs from filing a petition for erasure with the court granting such not guilty judgment or dismissal, or, where the matter had been before a municipal court, a trial justice, the Circuit Court or the Court of Common Pleas [with the records center of the Judicial Department] or in the Superior Court where venue would exist for criminal prosecution, and thereupon all police and court records and records of the state's attorney, prosecuting attorney or prosecuting grand juror pertaining to such charge shall be erased. Nothing in this subsection shall require the erasure of any record pertaining to a charge for which the defendant was found not guilty by reason of mental disease or defect.
- (c) (1) Whenever any charge in a criminal case has been nolled in the Superior Court, or in the Court of Common Pleas, if at least thirteen months have elapsed since such nolle, all police and court records and records of the state's or prosecuting attorney or the prosecuting grand juror pertaining to such charge shall be erased, except that in cases of nolles entered in the Superior Court, Court of Common Pleas, Circuit Court, municipal court or by a justice of the peace prior to April 1, 1972, such records shall be deemed erased by operation of law and the clerk or the person charged with the retention and control of such records shall not disclose to anyone their existence or any information pertaining to any charge so erased, provided nothing in this subsection

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shall prohibit the arrested person or any one of his heirs from filing a petition to the court [or to the records center of the Judicial Department, as the case may be,] to have such records erased, in which case such records shall be erased.

- (2) Whenever any charge in a criminal case has been continued at the request of the prosecuting attorney, and a period of thirteen months has elapsed since the granting of such continuance during which period there has been no prosecution or other disposition of the matter, the charge shall be nolled upon motion of the arrested person and such erasure may thereafter be effected or a petition filed therefor, as the case may be, as provided in this subsection for nolled cases.
- 56 (d) (1) Whenever prior to October 1, 1974, any person who has been 57 convicted of an offense in any court of this state has received an absolute 58 pardon for such offense, such person or any one of his heirs may, at any 59 time subsequent to such pardon, file a petition with the [superior court] 60 Superior Court at the location in which such conviction was effected, or 61 with the [superior court] Superior Court at the location having custody of the records of such conviction or [with the records center of the 62 63 Judicial Department] if such conviction was in the Court of Common 64 Pleas, Circuit Court, municipal court or by a trial justice court, in the 65 Superior Court where venue would exist for criminal prosecution, for 66 an order of erasure, and the Superior Court [or records center of the 67 Judicial Department] shall direct all police and court records and 68 records of the state's or prosecuting attorney pertaining to such [case] 69 offense to be erased.
- 70 (2) Whenever such absolute pardon was received on or after October 71 1, 1974, such records shall be erased.
- 72 (e) (1) Any individual who has ever been convicted of a misdemeanor in any court of this state may, provided at least three years have passed 73 74 following the completion of any sentence imposed as a result of such 75 individual's most recent conviction for a misdemeanor or felony offense, 76 file a petition with the Superior Court at the location in which the most

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77 recent misdemeanor conviction was effected, or with the Superior Court 78 at the location having custody of the records of such conviction or if such conviction was in the Court of Common Pleas, Circuit Court, municipal 79 80 court or by a trial justice court, in the Superior Court where venue 81 would exist for criminal prosecution, for an order of erasure, and if such 82 petition is in order, the Superior Court shall issue such order of erasure 83 and direct all police and court records and records of the state's or prosecuting attorney pertaining to each such misdemeanor offense, 84 85 except any misdemeanor that is a family violence crime, as defined in section 46b-38a, or that is a nonviolent sexual offense or a sexually 86 87 violent offense, each as defined in section 54-250, to be erased.

- (A) Notice of the erasure shall immediately be sent to all persons, agencies, officials or institutions known to have information pertaining to the criminal history record information. Reasonable efforts shall be made to send notice of the erasure to the individual whose records have been erased not later than thirty calendar days after such erasure;
- 93 (B) If an individual has been convicted of an offense in any court in 94 this state and such offense has been decriminalized subsequent to the 95 date of such conviction, such conviction shall not be considered when 96 evaluating such individual's criminal history record information for the 97 purposes of this subsection; and
- 98 (C) Erasure under this subsection shall not occur in the case of any 99 individual who has pending charges or an open criminal case in any 100 jurisdiction.
- (2) Nothing in this subsection shall limit any other procedure for erasure of criminal history record information or prohibit an individual from participating in any such procedure, even if such individual's criminal history record information has been erased under this subsection.
- [(e)] (f) (1) The clerk of the court [or any person charged with retention and control of such records in the records center of the Judicial Department] or any law enforcement agency having information

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contained in such erased records shall not disclose to anyone, except the subject of the record, upon submission pursuant to guidelines prescribed by the Office of the Chief Court Administrator of satisfactory proof of the subject's identity, information pertaining to any charge erased under any provision of this section and such clerk or person charged with the retention and control of such records shall forward a notice of such erasure to any law enforcement agency to which he knows information concerning the arrest has been disseminated and such disseminated information shall be erased from the records of such law enforcement agency. Such clerk or such person, as the case may be, shall provide adequate security measures to safeguard against unauthorized access to or dissemination of such records or upon the request of the accused cause the actual physical destruction of such records, except that such clerk or such person shall not cause the actual physical destruction of such records until three years have elapsed from the date of the final disposition of the criminal case to which such records pertain.

- [(2) No fee shall be charged in any court with respect to any petition under this section.]
- [(3)] (2) Any person who shall have been the subject of such an erasure shall be deemed to have never been arrested within the meaning of the general statutes with respect to the proceedings so erased and may so swear under oath.
- [(f)] (g) Upon motion properly brought, the court or a judge of such court, if such court is not in session, shall order disclosure of such records (1) to a defendant in an action for false arrest arising out of the proceedings so erased, or (2) to the prosecuting attorney and defense counsel in connection with any perjury charges which the prosecutor alleges may have arisen from the testimony elicited during the trial, or any false statement charges, or any proceeding held pursuant to section 53a-40b, or (3) counsel for the petitioner and the respondent in connection with any habeas corpus or other collateral civil action in which evidence pertaining to a nolled or dismissed criminal charge may

become relevant. Such disclosure of such records is subject also to any

- records destruction program pursuant to which the records may have
- been destroyed. The jury charge in connection with erased offenses may
- be ordered by the judge for use by the judiciary, provided the names of
- the accused and the witnesses are omitted therefrom.
  - [(g)] (h) The provisions of this section shall not apply to any police or court records or the records of any state's attorney or prosecuting attorney with respect to any information or indictment containing more than one count (1) while the criminal case is pending, or (2) when the criminal case is disposed of unless and until all counts are entitled to erasure in accordance with the provisions of this section, except that when the criminal case is disposed of, electronic records or portions of electronic records released to the public that reference a charge that would otherwise be entitled to erasure under this section shall be erased in accordance with the provisions of this section. Nothing in this section shall require the erasure of any information contained in the registry of protective orders established pursuant to section 51-5c. For the purposes of this subsection, "electronic record" means any police or court record or the record of any state's attorney or prosecuting attorney that is an electronic record, as defined in section 1-267, or a computer printout.
- [(h)] (i) For the purposes of this [section] <u>chapter</u>, "court records" shall not include a record or transcript of the proceedings made or prepared by an official court reporter, assistant court reporter or monitor.
- (j) No fee shall be charged in any court with respect to any petitionunder this section.
- 167 (k) Records erased pursuant to this section shall not be erased or
  168 destroyed for purposes of the pretrial family violence education
  169 program under section 46b-38c or accelerated rehabilitation under
  170 section 54-56e."

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